

Page 1 of 1  
#3MPG

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/073,817	02/11/2002	Micheal Zahn	Westpahl.6594

CONFIRMATION NO. 6360

FORMALITIES LETTER



\*OC000000007626526\*

Samuels, Gauthier & Stevens LLP  
Suite 3300  
225 Franklin Street  
Boston, MA 02110

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 03/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$72.
  - \$72 for 4 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 942.

/2002 YPOLITE1 00000045 10073817

:101 ..... 740.00 OP  
:103 ..... 72.00 OP  
:105 ..... 130.00 OP  
A copy of this notice **MUST** be returned with the reply.

*Karla Quintos*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

MAIL DATE CANCELLED  
MAY 2 2002  
PATENT & TRADEMARK OFFICE

MAY 2 2002

Westphal.6594

#3

APPLICANT: Zahm et al. GROUP: 2614  
SERIAL NO: 10/073,817 EXAMINER: Unknown  
FILED: February 11, 2002  
FOR: TELEVISION RECEIVER

COPY OF PAPERS  
ORIGINALLY FILED

Box Missing Parts  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

**COMPLETION OF FILING REQUIREMENTS**

In response to the Notice to File Missing Parts of Application mailed on March 13, 2002, a copy of which is enclosed, we enclose herewith the Declaration and Power of Attorney. Enclosed is a check for \$942.00 to cover the surcharge and filing fee.

The Commissioner is authorized to charge Deposit Order Account No. 19-0079 for any further extension and/or fee that is required.

Respectfully submitted,

*Patrick J. O'Shea*

Patrick J. O'Shea  
Registration No. 35,305  
Samuels, Gauthier & Stevens LLP  
225 Franklin Street, Suite 3300  
Boston, Massachusetts 02110  
Telephone: (617) 426-9180  
Extension: 121

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, Attn: Application Division.

*Christie A. Mims*

Christie A. Mims

4-23-02

Date



Receipt # 26  
Docket No: Westphal.6594

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Zahm et al.

GROUP: 2614

SERIAL NO: 10/073,817

EXAMINER: Unknown

FILED: February 11, 2002

FOR: TELEVISION RECEIVER

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

RECEIVED

JUN 13 2002

Technology Center 2600

COPY OF PAPERS  
ORIGINALLY FILED

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

2. There is an error with respect to the following data which is:

  x   incorrectly entered

and/or

       omitted

Error in

Correct data

1.   x   Applicant's name

1. Michael ZAHM

2.        Applicant's address

2.

3.        Title

3.

4.        Priority Information

4.

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231.

CM  
Christie A. Mims

4-25-02  
Date

3. (complete the following applicable item A or B)

A. ☐ The correction(s) is/are not due to any error by applicant and no fee is due.

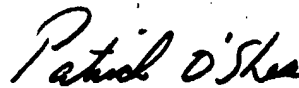
**OR**

B. ☒ At least one of the above corrections is due to applicant's error and the fee therefor under 37 CFR 1.19(h) of \$25.00 is paid as follows:

☒ Enclosed is check for \$25.00

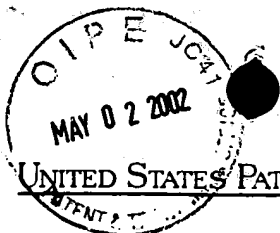
☐ Charge Account 19-0079 \$25.00.

Respectfully submitted,



---

Patrick J. O'Shea  
Registration No. 35,305  
Samuels, Gauthier & Stevens  
225 Franklin Street  
Boston, Massachusetts 02110  
Telephone: (617) 426-9180  
Extension 121

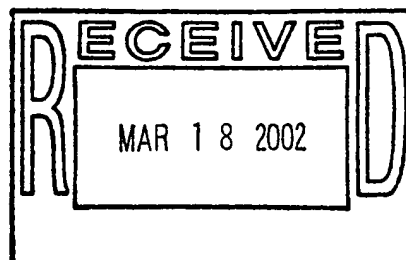


# COPY

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/073,817	02/11/2002	2614	0.00	Westpahl.6594	1	24	3

Samuels, Gauthier & Stevens LLP  
Suite 3300  
225 Franklin Street  
Boston, MA 02110

CONFIRMATION NO. 6360

## FILING RECEIPT



\*OC000000007626525\*

Date Mailed: 03/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Micheal Zahn, Residence Not Provided;  
Erich Geiger, Residence Not Provided;

ZAH M

COPY OF PAPERS  
ORIGINALLY FILED

D mestic Priority data as claimed by applicant

## Foreign Applications

GERMANY 101 06 071.8 02/09/2001

If Required, Foreign Filing License Granted 03/12/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Television receiver

## Preliminary Class

348

RECEIVED

JUN 13 2002

Technology Center 2600

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).